

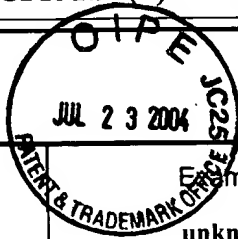
DFW

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))					Docket No. 03830045AA	
In Re Application Of: Kirisawa						
Application No. 09/988,470	Filing Date 11-20-01	Examiner unknown	Customer No. 30743	Group Art Unit 2122	Confirmation No. 8763	
Title: PROGRAM UPDATING SYSTEM HAVING COMMUNICATION FUNCTION						
Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450						
37 CFR 1.97(b)						
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.						
37 CFR 1.97(c)						
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:						
<input type="checkbox"/> the statement specified in 37 CFR 1.97(e);						
OR						
<input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).						

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
03830045AA

In Re Application: **Kirisawa**



Application No. 09/988,470	Filing Date 11-20-01	Examiner unknown	Customer No. 30743	Group Art Unit 2122	Confirmation No. 8763
-------------------------------	-------------------------	---------------------	-----------------------	------------------------	--------------------------

**PROGRAM UPDATING SYSTEM HAVING COMMUNICATION FUNCTION**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **50-2041**  
as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

\_\_\_\_\_  
(Date)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

\_\_\_\_\_  
Signature of Person Mailing Correspondence

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

\_\_\_\_\_  
Signature

Dated: **7-23-04**

**Michael E. Whitham**  
Reg. # 32,635  
Whitham, Curtis & Christofferson P.C.  
11491 Sunset Hills Road  
Suite 340  
Reston, Va. 20190  
703-787-9400  
Customer # 30743

cc:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Kirisawa

Serial No. 09/988,470

Group Art Unit: 2122

Filed: 11-20-01

Examiner: unknown

For: **PROGRAM UPDATING SYSTEM HAVING COMMUNICATION FUNCTION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Va. 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Under the provisions of 37 C.F.R. 1.97 through 1.98 and pursuant to applicant's duty of disclosure under 37 C.F.R. 1.56, applicant respectfully brings the following documents, cited in a Japanese Office Action and listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. Copies of the listed documents are provided herewith for the convenience of the Examiner.

This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

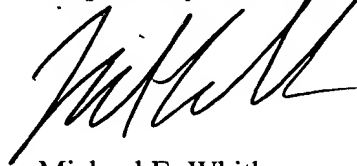
I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to filing of this statement.

In compliance with the requirements of 37 C.F.R. §1.98 (a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 35 U.S.C. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of the official action by a foreign examiner in which the reference was cited. The relevance to the pending U.S. Patent application is that the references was cited in a foreign patent application on the same subject matter. However, no independent analysis of the

reference, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the same subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

It is respectfully requested that the listed references be formally made of record in the application. Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-2041.

Respectfully submitted,

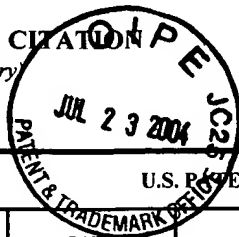
A handwritten signature in black ink, appearing to read 'Michael E. Whitham', written in a cursive style.

Michael E. Whitham  
Reg. No. 32,635

Whitham, Curtis & Christofferson P.C.  
11491 Sunset Hills Road  
Suite 340  
Reston, Va. 20190  
703-787-9400  
**Customer # 30743**

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional)

03830045AA

Application Number

09/988,470

Applicant(s)

Kirisawa

Filing Date

11-20-01

Group Art Unit

2122

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	1	9-218788	8-19-97	Japan	abstract		✓	
	2	9-212388	8-15-97	Japan	abstract		✓	
	3	9-114671	5-2-97	Japan	abstract		✓	
	4	7-191841	7-28-95	Japan	abstract		✓	

## OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

	1	Japanese Office Action dated July 2, 2004 with English translation of pertinent portions

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.